

Capacity Building Competition Briefing

Richard Lamb, KTP Programme Manager, Innovate UK-UKRI

Andy Treen, Senior KTA, Innovate UK KTN

Sharon Perry, KTA, Innovate UK KTN

28/09/2022



Agenda

- Welcome and Introductions
- Capacity Building Overview
- Questions

Please Note

This Webinar is being recorded

We are not covering questions regarding KTP or AKT

Capacity Building: Overview

Aim

- To increase the capability of organisations in the UK Knowledge Base to participate in the Innovate UK Knowledge Transfer Partnership (KTP) scheme.

Knowledge Bases include:

- UK Higher Education & Further Education Institutions
- UK Research and Technology Organisations
- UK Catapult Centres

Up to 100% Grant available

- Maximum award size £35000
- 100% of external costs
- 80% internal cost (based on FEC rates)
- Duration 2-4 month
- One application per Knowledge Base
- Activities can be carried out collaboratively with other KBs

Capacity Building: Project

What type of Projects are we looking for?

Each Knowledge Base will have different requirements and therefore the scope of the competition is quite broad.

Specific areas of interest include:

- Projects that directly lead to an increase in the number of KTP applications from the applicant KB.
- Projects which provide opportunities for collaboration between KBs on KTP capacity building initiatives.
- Initiatives to build sustainable business engagement between Business' and the Knowledge Base.
- Improving the ability of KB KTP offices to increase and enhance their knowledge transfer interaction with UK businesses.
- Activity that engages early career researchers in KTP.
- Projects that support the engagement and approval of new KBs within the IUK Knowledge Transfer Partnership programme.

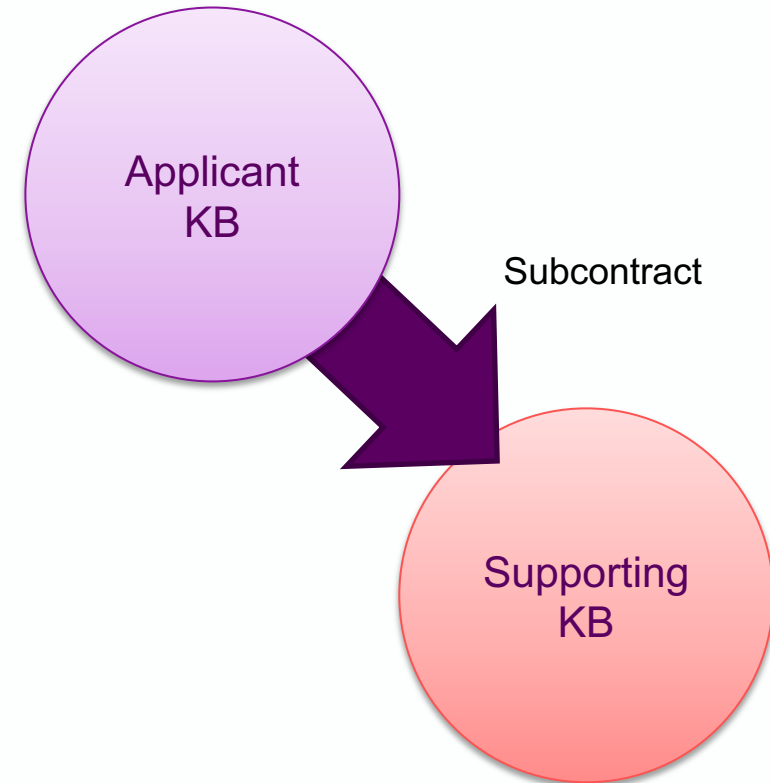
Capacity Building: Potential project structures

Scenarios

- Applicant KB is relatively new to KTP and needs support from an experienced KB to set up appropriate processes and procedures to scale up their involvement.
- or
- Applicant KB is new to KTP and requires support from an experienced KB to progress towards becoming an approved KB.

Approach

- The rationale for subcontract support is described in the proposal.
- The grant is awarded to the Applicant KB.
- The Applicant subcontracts the supporting KB using standard local KB processes.



Capacity Building: Potential project structures

Scenario

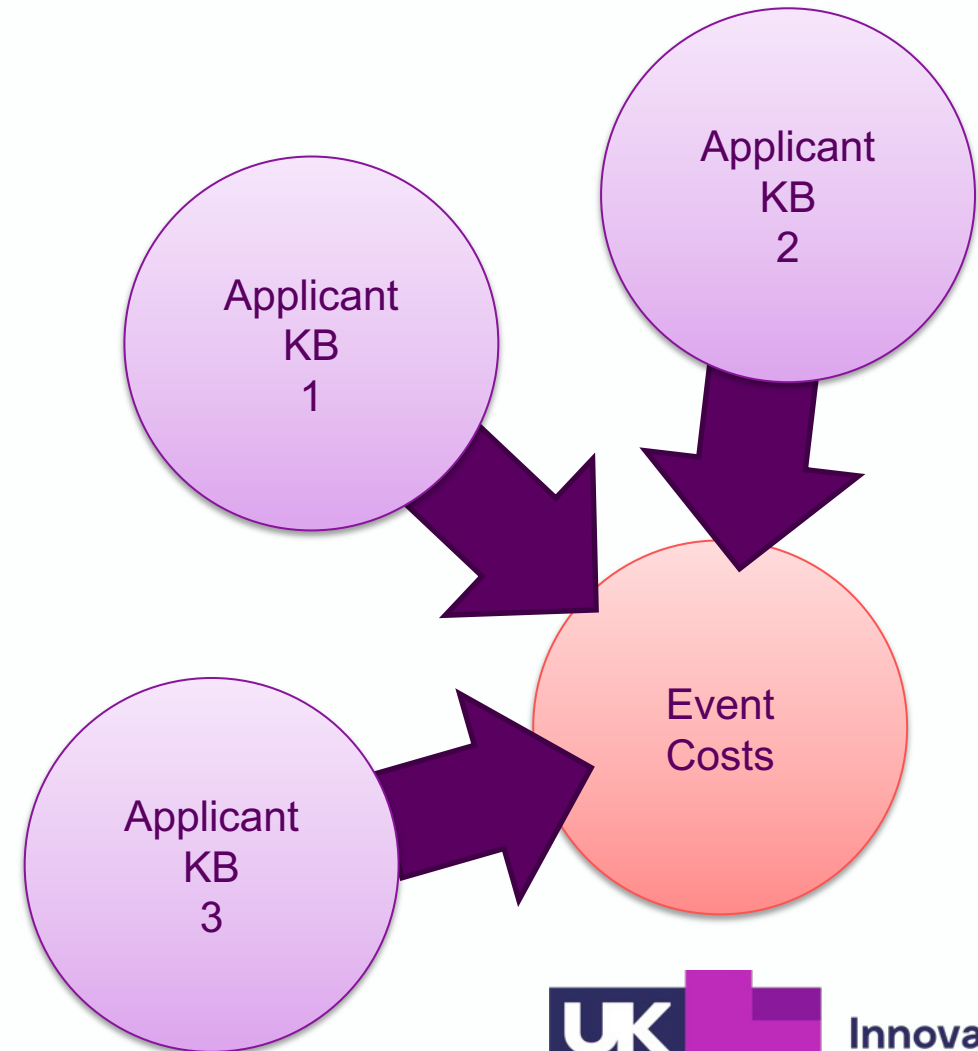
- A group of KBs wish to collaborate on an initiative (perhaps technology or regionally focused) that is mutually beneficial.
- An event is to be jointly organized by the Applicants.

Approach

- Each KB applies separately to the CB competition.
- The rationale for the event is described in each proposal and reference to the collaborating parties is outlined (including reference to the other applications).
- Each applicant requests a % of the funding to support the event.
- How the event is managed is described in each application e.g. “Applicant 1 will be responsible for coordinating the event set up and delivery, Applicant 2 will...”
- The grant is awarded to each successful Applicant.
- Appropriate subcontracts are put in place to deliver the event using each KB’s standard local processes.
- One KB may lead on the organization of the event.

Issue

- Should one Applicant be unsuccessful the rationale for the event should still be pertinent to those that are successful. The successful applicants modify their event plans accordingly, using the budget available in the grants that are awarded.



Capacity Building: Project Costings

Costings

Maximum Grant: £35,000*

Funding can be used to

- ✓ Support time of both academic and non-academic staff with the Knowledge Base at 80% FEC
- ✓ Associated Travel & Subsistence, Consumables up to 10% of total project costs
- ✓ External costs are also permitted and will be 100% funded

The following expenditure is not permitted

- X Capital equipment
- X Estate costs
- X Items considered to be underpinning operational costs

Example costs	Project Cost (£)	Grant value (£)
Internal KB Staff	22,500	18,000
Consumables	1,000	1,000
Academic Staff	2,500	2,000
Event	11,000	11,000
Travel and Subsistence	2,500	2,500
Totals	39,500	34,500

*subject to application numbers

Capacity Building: Application form



General questions



Project Specific



Challenge and
Risks



Project
Management



Project costs

Capacity Building: Assessment



Initial Checks

Eligibility and Scope
Form Complete



Impact

Additional capacity in
short and medium term

Interactions and
collaborations with other
KBs.

Best practice with other
KBs?



Innovation

How is the project
innovative for the
applicant in addressing
KTP capacity building?



Cohesiveness

Meeting current
challenges in KTP
growth

Risks address with
mitigation

Are the costings
sensible?

Realistic timescales

Capacity Building: What next?



Competition webpage:

<https://www.ktp-uk.org/ktp-capacity-building-competition-for-knowledge-bases/>



Competition Open: 5th October 2022

Competition Closes: 26th October 2022



Queries post webinar

ktpquestions@iuk.ukri.org

Questions